



Greyline's gVue is a comprehensive technology solution for managing and monitoring your financial firm's compliance activities.



With this platform, you have the ability to oversee:

- Employees' personal securities trading in publicly traded equities and bonds, private investments, cryptocurrencies and more.
- Political contributions
- Outside business activities
- Gifts & entertainment reporting

Features

Pre-Clearance

gVue's customizable requests module allows users to seek approval for trades, political contributions, outside business activities and more. Firm policies are built into gVue, automating the review process.

Certifications and Policies

gVue's robust certification builder automates timely reporting of political contributions, gifts and entertainment, and outside business activities. gVue's document repository makes all compliance documents accessible to users.

Dashboard

gVue's centralized dashboard concisely displays all outstanding tasks, pending requests and brokerage accounts. gVue's user-friendly interface makes it easy for users to meet their compliance obligations.

Personal Trading and Deal Monitoring

gVue seamlessly links to more than 4,000 brokerages, allowing users to connect their brokerage accounts in seconds. Personal trading data is downloaded on a nightly basis, automating the review process.

Business Rules and Reporting

gVue's powerful reporting feature allows you to quickly generate compliance review reports and trading summaries for employees to ensure a culture of compliance within your organization.

gVue vs. Paper

gVue

Paper

PRIVACY

No cash balances, addresses or full account numbers in view

PRIVACY

Brokerage statements contain sensitive data

AUTOMATION

Schedules automated reminders and generates tasks

AUTOMATION

No reminders; need to create forms quarterly

REPORTING

Easily produces exports in the event of an SEC audit

REPORTING

Collecting paper delays the audit process

EFFICIENCY

Eliminates the need for paper statements with brokerage links. Users submit reports and gVue automatically aggregates all OBAs, gifts, etc. for review

EFFICIENCY

Uploading brokerage statements and verifying disclosures is time consuming

Want to see gVue in action?

Email gvue@greyline.co to request a demo!

[Greyline.co/gVue](https://greyline.co/gVue)

With its intuitive technology and easy-to-use reporting functions, gVue offers you an easier path to compliance that ensures you operate within regulatory requirements, as well as company policies.

